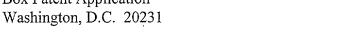
Patent

Attorney Docket: 261/151

To: Commissioner for Patents Box Patent Application





## NEW APPLICATION TRANSMITTAL - UTILITY

				'n					
	Sir:								
	Transmitted herewith for filing is a utility patent application:								
		Inventor(s):	Lorraine M. Martinez, Brian Nuel, Peter Thornton, Gary Sunseri, and Jobert Balceta						
		Title:	DISTAL FILTRATION DEVICES AND METHODS OF USE DURING AORTIC PROCEDURES						
	I.	PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):							
The state of the s	н.	<ul> <li>18 Page(s) of Written Description</li> <li>10 Page(s) Claims</li> <li>1 Page(s) Abstract</li> <li>19 Sheets of Drawings</li></ul>							
		Assign Certifi Inform Prelim Reque form I Return Other:	r of Attorney Separate Combined with Declaration nment to <u>EMBOL–X, Inc.</u> and assignment cover sheet lied Copy of Priority Document No(s): nation Disclosure Statement w/PTO 1449 Copy of Citations ninary Amendment est and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach PTO/SB/35 n Postcard	ch					
	III.	PRIORITY							
			ty of application Serial No filed on in is claimed under S.C. § 119.						
				and a Madelland					

CERTIFICATE OF MAILING (37 C F R §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EV051624478US

Express Mail Label No

January 30, 2002

Date of Deposit



Patent

Attorney Docket: 261/151

## IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

Applicant claims small entity status pursuant to 37 CFR § 1.27								
BASIC FILING FEE:						\$740.00		
Total Claims	20	-	20	=	0	X	\$18.00	\$0.00
Independent Claims	5	-	3	=	2	X	\$84.00	\$168.00
Multiple Dependent Claims	\$280	(if applicable)				\$0.00		
TOTAL OF ABOVE CALCULATIONS							\$908.00	
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$454.00		
Misc. Filing Fees (Recordation of Assignment \$40) \$40.						\$40.00		
TOTAL FEES DUE HEREWITH						\$494.00		

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7 .	17A-1 A AA	$\mathbf{v}$	$\mathbf{v}$		VIII. 1		,

$\boxtimes$	A check in the amount of \$494.00.
	Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of
	This application is being filed without fee or Declaration under 37 CFR § 1.53.

## VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- **⊠** 37 CFR § 1.16 (Filing fees and excess claims fees)
- 37 CFR § 1.17 (Any application processing fees)
- **⊠** 37 CFR § 1.21 (Assignment recording fees)

## VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP

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633 W. Fifth Street

Los Angeles, CA 90071

(212) 400 1600 (040) 56

(213) 489–1600 or (949) 567–2300

Please direct all inquiries to John Kappos, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated:	January 30, 2002	By: John Kages

John Kappos Reg. No. 37,861

Attorneys for Applicant